

No. I-19015/01/2019-DMEO
NITI Aayog
Development Monitoring and Evaluation Office

Dated: 31st May 2019

CORRIGENDUM III

Subject: Request for Proposal (RFP) for Consultancy for Evaluation of Centrally Sponsored Schemes under Package 5 - Rural Development Sector - Changes in RFP - regarding

1. This Corrigendum forms an integral part of the RFP.
2. Pursuant to the release of the RFP for Selection of Consultants to conduct Evaluation Studies for Centrally Sponsored Schemes under **Package 5 - Rural Development Sector** issued by NITI Aayog on 6th May 2019, following are the amendments in the RFP. The deletions in the earlier text of the RFP are indicated as strikethrough and the additions are underlined:

SNo.	Clause No.	Provision in the RFP										
(1)	Clause 1.6	<p>Brief description of the Selection Process In the first stage, a technical evaluation will be carried out as specified in Clause 3.1 <u>alongwith presentations to be made by the Applicants who fulfil the eligibility conditions as specified in clauses 2.2.2 (A) and 2.2.2 (B). Schedule for the presentations will be notified to the Applicants individually.</u> Based on thise technical evaluation, a list of short-listed applicants shall be prepared as specified in Clause 3.2.</p>										
(2)	Clause 1.8.	<p>Schedule of Selection Process The Authority would endeavour to adhere to the following schedule:</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Event Description</th> <th style="text-align: left;">Date</th> </tr> </thead> <tbody> <tr> <td>1. Last date for receiving queries/clarifications</td> <td>[20 days prior to PDD] <u>16th May 2019</u></td> </tr> <tr> <td>2. Pre-Proposal Conference</td> <td>[16 days prior to PDD] <u>20th May 2019</u></td> </tr> <tr> <td>3. Authority response to queries</td> <td>[11 days prior to PDD] <u>31st May 2019</u></td> </tr> <tr> <td>4. Proposal Due Date or PDD</td> <td>4th June 2019 <u>24th June 2019</u></td> </tr> </tbody> </table>	Event Description	Date	1. Last date for receiving queries/clarifications	[20 days prior to PDD] <u>16th May 2019</u>	2. Pre-Proposal Conference	[16 days prior to PDD] <u>20th May 2019</u>	3. Authority response to queries	[11 days prior to PDD] <u>31st May 2019</u>	4. Proposal Due Date or PDD	4th June 2019 <u>24th June 2019</u>
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(3)	Clause 2.2.2 (B)	<p>Financial Capacity: The Applicant shall have received a minimum income of Rs. 50 (fifty) crores per annum from professional fees during each of the 3 (three) financial years preceding the Proposal Due Date <u>FY 2015-16, FY</u></p>										

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(6)	Clause 2.2.3	<p>The Applicant shall enclose with its Proposal, certificate(s) from its Statutory Auditors²\$ stating its total revenues from professional fees during each of the 3 (three) financial years preceding the PDD FY 2015-16, FY 2016-17 and FY 2017-18 and the fee received in respect of each of the Eligible Assignments specified in the Proposal. In the event that the Applicant does not have a statutory auditor, it shall provide the requisite certificate(s) from the firm of Chartered Accountants that ordinarily audits the annual accounts of the Applicant.</p> <p><u>However, for the Eligible Assignments, the Applicant can also provide requisite certificate(s) from its chartered accountant that ordinarily audits the annual accounts of the Applicant.</u></p>										
(7)	Clause 2.2.3, Footnote 2\$	<p>No separate annual financial statements should be submitted.</p> <p><u>Please do not attach complete printed annual financial statements. In case relevant extracts of duly audited annual financial statements containing the requisite details are provided, duly countersigned by the authorised signatory, a separate certification by statutory auditors would not be necessary in respect of clause 2.2.3.</u></p>										
(8)	Clause 2.3.4	<p>An Applicant eventually appointed to provide Consultancy for this Project, and its Associates, shall be disqualified from subsequently providing goods or works or services related to the construction and operation of the same Project and any breach of this obligation shall be construed as Conflict of Interest; provided that the restriction herein shall not apply after a period of 5 (five) years <u>6 (six) months</u> from the completion of this assignment or to consulting assignments granted by banks/ lenders at any time; provided further that this restriction shall not apply to consultancy/ advisory services performed for the Authority in continuation of this Consultancy or to any subsequent consultancy/ advisory services performed for the Authority in accordance with the rules of the Authority.</p>										

(9)	Clause 2.4	However, no Applicant shall be selected for more than 5 (five) sectors/packages, of which only 3 (three) may be as Lead Member.								
(10)	Clause 2.14.2. (g)	(g) the CVs have been recently signed and dated in blue ink by the respective Personnel and countersigned by the Applicant. Photocopy or unsigned / countersigned CVs shall be rejected; <u>A copy of the CV signed by Key Personnel, duly countersigned by the authorised signatory, shall be accepted. Unsigned CVs shall be rejected;</u>								
(11)	Clause 2.27	Indemnity The Consultant shall, subject to the provisions of the Agreement, indemnify the Authority for an amount not exceeding 3 (three) times the value of the Agreement for any direct loss or damage that is caused due to any deficiency in services.								
(12)	Clause 3.1.2	In case the Selected Applicant has one Key Personnel, other than the Team Leader, who scores less than 60% marks, he would have to be replaced withing 2 (two) working days during negotiations, with a better candidate who, in the opinion of the Authority, would score 60% (sixty per cent) or above. <u>In case a non-core Key Personnel is a part of the selected Consultancy Team across multiple packages, s/he would have to be replaced within 2 (two) working days during negotiations with an equally or better qualified and experienced personnel being provided to the satisfaction of the Authority.</u>								
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KD4 ^s		Final Evaluation Report approved by Authority	14 <u>15</u>	20%																												
		Total		100%																												
(22)	Appendix-I, Form-5	Note: Please do not attach any complete printed Annual Financial Statement. <u>In case relevant extracts of duly audited Annual Financial Statements containing the requisite details are provided, duly countersigned by the authorised signatory, a separate certification by statutory auditors would not be necessary in respect of clause 2.2.3.</u>																														
(23)	Appendix-I, Form-7	2. Methodology and Work Plan (not more than four <u>eight</u> pages)																														
(24)	Appendix-I, Form-8	^s In case †The Applicant does not have a statutory auditor, it shall <u>can also</u> provide the certificate from its chartered accountant that ordinarily audits the annual accounts of the Applicant.																														
(25)	Appendix-I, Form-9	Column 4: Estimated capital cost of the Project (in Rs crore or US\$ million)																														
(26)	Appendix-I, Form-10	Row 7: Estimated capital cost of the Project (in Rs crore or US\$ million)																														
(27)	Appendix-I, Form-10	It is certified that the aforesaid information is true and correct to the best of my knowledge and belief. (Signature and name of Key Personnel) (Signature, name and designation of the authorised signatory)																														
(28)	Appendix-I, Form-11	Row 9: Estimated capital cost of the Project (in Rs crore or US\$ million)																														
(29)	Appendix-I, Form-12, Note	2. The names and chronology of assignments included here should conform to the project-wise details submitted in Form-8 <u>Form-9</u> of Appendix-I.																														

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(30)	Appendix-I, Form-12, Note 3	3. Each page of the CV shall be signed in ink and dated by both the Personnel concerned and by the Authorised Representative of the Applicant firm along with the seal of the firm. Photocopies will not be considered for evaluation. <u>A copy of the CV signed by Key Personnel, duly countersigned by the authorised signatory, shall be accepted. Unsigned CVs shall be rejected.</u>
(31)	Appendix-IV, E. Areas of Inquiry - National Social Assistance Programme (NSAP), 3. Approach	<ul style="list-style-type: none"> ● To conduct the survey at all levels; ● To prepare the software for data entry, validation, compilation, report generation, etc.; ● To prepare the report under the overall supervision and guidance of DMEO while incorporating necessary corrections/ modification as suggested by NITI Aayog till the final report is approved by the Competent Authority; ● To submit five compact discs and five copies of the final report along with the basic data.
(32)	Appendix-IV, F. Areas of Inquiry – Pradhan Mantri Awaas Yojana-Gramin (PMAY-G) 3. Approach	<p>b) To conduct the survey at all levels;</p> <p>a)b) To prepare the software for data entry, validation, compilation, report generation, etc.;</p> <p>b)c) To prepare the report under the overall supervision and guidance of the DMEO while incorporating necessary corrections/modifications as suggested by it, till the final report is approved by competent authority;</p> <p>e)d) For this project, the agency/institutions assigned this study should be available for discussion, etc. with the DMEO, as and when required, at short notice.</p>
(33)	Appendix-IV, G. Areas of Inquiry - DAY-National Rural Livelihoods Mission (DAY-NRLM), 3. Approach	<ul style="list-style-type: none"> ● To conduct the survey at all levels; ● To prepare the software for data entry, validation, compilation, report generation, etc.; ● To prepare the report under the overall supervision and guidance of the DMEO while incorporating necessary corrections/modifications as suggested by it, till the final report is approved by competent authority; ● To submit five pen drives and five copies of the final report along with basic data;
(34)	Appendix-IV, H. Areas of Inquiry - Shyama Prasad Mukherji Rurban Misson (SPMRM), 3. Approach	<ul style="list-style-type: none"> ● To conduct the survey at all levels; ● To prepare the software for data entry, validation, compilation, report generation, etc.; ● To prepare the report under the overall supervision and guidance of DMEO while incorporating necessary corrections/ modification as suggested by NITI Aayog till the final report is approved by the Competent Authority; ● To submit five compact discs and five copies of the final report along with the basic data.
(35)	Appendix-IV, I. Areas of Inquiry- Pradhan Mantri Gram Sadak Yojana (PMGSY), 3. Approach	<ul style="list-style-type: none"> ● To conduct the survey at all levels; ● To prepare the software for data entry, validation, compilation, report generation, etc.; ● To prepare the report under the overall supervision and guidance of DMEO while incorporating necessary corrections/ modification as suggested by NITI Aayog till the final report is approved by the Competent Authority; ● To submit five compact discs and five copies of the final report along

		with the basic data.
(36)	Appendix-IV, J. Areas of Inquiry- Mahatma Gandhi National Rural Employment Guarantee Scheme (MGNREGA), 3. Approach	b. To conduct the survey at all levels; e-b. To prepare the software for data entry, validation, compilation, report generation, etc.; d-c. To prepare the report under the overall supervision and guidance of the DMEO while incorporating necessary corrections/modifications as suggested by it, till the final report is approved by competent authority; d. To submit five pen drives and five copies of the final report along with basic data; e-d. For this project, the agency/institutions assigned this study should be available for discussion, etc. with the DMEO, as and when required, at short notice.

3. All the other terms and conditions of the RFP shall remain unaffected.